



Follow these simple steps to combine balances from a previous employer's plan with the current plan.

Personal Information (Please print with black ink)

Name (Last) (First) (MI) Single Male
 Married Female
 Address Social Security Number
 City State Zip Country Phone Number
 Email Address
 Date of birth Expected Retirement Age Date of Original Employment
 If you have been rehired, complete these dates: → Date of Termination Date of Rehire

Note: The email address you submit will be used for all services provided by The Principal®. We will not provide your email to third parties. For more information, see our privacy policy at principal.com.

Request Rollover From Prior Financial Institution

- Contact the financial institution that currently holds your retirement funds to request a rollover.
- Instruct them to complete the rollover check as follows:

Checks must be made payable to:

Principal Life Insurance Company
FBO Your Name
Contract Number/Plan ID 8-03748

Please mail this completed form with your check to:

The Principal Financial Group
P.O. Box 9394
Des Moines, IA 50306-9394

If you have questions or would like assistance in contacting the financial institution to obtain your rollover funds, please call our Client Contact Center at 1-800-547-7754.

1 Rollover Information

The retirement funds rolled over into this plan result from a distribution from a: (please check applicable boxes).

- 401(k) or other Qualified Plan SIMPLE IRA Governmental 457 Plan
 Simplified Employee Pension Plan (SEP) IRA (pre-tax contributions) 403(b) Plan

Name of Financial Institution from which funds are transferring Previous Plan/Account Name and Number from which funds are transferring

The rollover is assumed to be a pre-tax distribution unless specified below:

Amount of non-Roth After-Tax Contributions (i.e., basis) \$ _____
 Amount of Roth After-tax Contributions (i.e., basis) \$ _____
 Amount of Earnings on Roth After-tax \$ _____
 Year 1* Roth After-tax Contribution was made _____

You may roll over a distribution from a traditional IRA to a plan qualified under Code section 401(a) or (b) annuity or governmental 457(b) plan to the extent that the distribution would be taxable if not rolled over. After-tax contributions in an IRA may not be rolled over to one of these plans. Amounts rolled into a Governmental 457(b) plan other than another governmental 457(b) plan are subject to a 10% early withdrawal tax.

Note: You may rollover a Roth account only into a plan that allows Roth contributions. Please check your Summary Plan Description before rolling over Roth accounts to make sure Roth contributions are available. You may not rollover Roth contributions into a Governmental 457(b) plan.

2 My Customized Investment Options

Make your investment election(s) by showing the percentage you want directed to each investment option. The column must add up to 100%. This investment direction applies only to your rollover contribution. If this section is not completed, we will invest your rollover contribution based on your current investment election, if available, or the plan's default investment option.

Short-Term Fixed Income		
Morley Financial Services, Inc		
	Principal Stable Value Sig Fund ^A	%
Fixed Income		
Principal Global Investors		
	Bond and Mortgage Sep Acct ^A	%
Principal Real Estate Inv		
	U.S. Property Sep Acct ^A	%
Withdrawal requests from the U.S. Property Separate Account may be delayed.*		
Balanced/Asset Allocation		
Multiple Sub-Advisors		
	Principal LifeTime Strategic Income Separate Account ^A	%
	Principal LifeTime 2010 Separate Account ^A	%
	Principal LifeTime 2020 Separate Account ^A	%
	Principal LifeTime 2030 Separate Account ^A	%
	Principal LifeTime 2040 Separate Account ^A	%
	Principal LifeTime 2050 Separate Account ^A	%
	SAM Balanced Separate Account ^A	%
	SAM Conservative Balanced Separate Account ^A	%
	SAM Conservative Growth Separate Account ^A	%
	SAM Flexible Income Separate Account ^A	%
	SAM Strategic Growth Separate Account ^A	%
Large U.S. Equity		
Principal Financial Advisors		
	Total Market Stock Index Sep Acct ^A	%
Principal Global Investors		
	LargeCap S&P 500 Index Separate Account ^A	%
T. Rowe Price/Brown Advisory		
	LargeCap Growth I Separate Account ^A	%
UBS / TS&W		
	LargeCap Value I Separate Account ^A	%
Small/Mid U.S. Equity		
Columbus Circle Investors		
	MidCap Growth Separate Account ^A	%
DFA/Vaughan Nelson/LA Capital		
	SmallCap Value II Separate Account ^A	%
Emerald / Essex		
	SmallCap Growth II Separate Account ^A	%
Goldman Sachs/LA Capital Mgmt		
	MidCap Value I Separate Account ^A	%
Principal Global Investors		
	MidCap S&P 400 Index Separate Account ^A	%
	SmallCap S&P 600 Index Separate Account ^A	%
International Equity		

Fidelity / Schroders	
International I Separate Account ^A	_____ %
Principal Global Investors	
International Emerging Markets Sep Acct ^A	_____ %
TOTAL of all lines should equal	100 %

3 My Signature (Please sign below after you have completed this form)

I may ROLLOVER only retirement funds that are allowed under my current employer’s plan. I have verified with my current employer that these funds can be deposited according to plan provisions.

By signing below you declare this information is correct.

My Signature _____ Date _____/_____/_____

X _____/_____/_____

4 Plan Sponsor Signature (Please sign below after you have reviewed this form)

Based on the information above, this rollover contribution is acceptable according to the plan provisions. Principal Life Insurance Company is directed to accept this rollover contribution and keep the appropriate records and accounts.

Plan Sponsor or Trustee Name _____

Plan Sponsor or Trustee Signature _____ Date _____/_____/_____

X _____/_____/_____

5 Submit Rollover Form and Check

You or your current plan sponsor can return your completed form:

- Check Enclosed
- Financial Institution will forward

Mail to:
 Principal Life Insurance Company
 P.O. Box 9394
 Des Moines, IA 50306-9394

Fax to:
 1-866-704-3481

^A For more information about this investment option, including its full name, please visit The Principal Web site at www.principal.com or call 1-800-547-7754 for assistance from a retirement specialist.
 Insurance products and plan administrative services are provided by Principal Life Insurance Company.

* If you elect to contribute funds into the Principal U.S. Property Separate Account (Separate Account) you may not be able to immediately withdraw funds. We have implemented a pre-existing contractual limitation in the group annuity contract which will allow us to manage this Separate Account and satisfy withdrawal requests over time and fairly among all those who request a withdrawal. This limitation applies only to this Separate Account and does NOT apply to our other Sub-Advised Investment Options. Please log on to the participant website at www.principal.com for more details.